

01/16/02
jc882 U.S. PTO

1-03-02

A

Attorney Docket No. TMP-0013CON
PATENT

jc872 U.S. PTO
10/052861
01/16/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Paul A. Getchel
Kenneth M. Cole, Sr.
Henry A. Lyden

For (title): TEMPERATURE CONTROL
SYSTEM FOR A WORKPIECE
CHUCK

1. **Type of Application** This new application is for
a(n)

- ☐ Original (nonprovisional)
☐ Design
☐ Plant
☐ Divisional.
☒ Continuation.
☐ Continuation-in-part (C-I-P).

2. **Benefit of Prior Application(s)**

- ☒ The new application being transmitted claims the benefit of prior U.S. patent application(s)
no(s). 09/001,887 filed on December 31, 1997.

3. **Papers Enclosed**

25 Pages of specification
4 Pages of claims
1 Page of Abstract
4 Sheets of drawings
☒ formal
☐ informal
1 Page of Cover Sheet

CERTIFICATE OF MAILING
37 C.F.R. § 1.10

"Express Mail" Mailing Label Number EL871911109US
I hereby certify that this paper or fee is being deposited with the
United States Postal Service "Express Mail Post Office to
Addressee" service under 37 CFR 1.10 on the date indicated above
and is addressed to BOX PATENT APPLICATION, Assistant
Commissioner for Patents, Washington, DC 20231.

Date: 1-16-02

Vanessa Marakas
Vanessa Marakas
Vanessa Marakas
Print Name

- ☐ The enclosed drawing(s) are photograph(s), and there is also attached a "PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S)." 37 C.F.R. 1.84(b).

4. Additional papers enclosed

- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement (37 C.F.R. 1.98)
- ☐ Form PTO-1449 (PTO/SB/08A and 08B)
- ☐ Copies of cited references
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
- ☐ Authorization of Attorney(s) to Accept and Follow Instructions from Representative
- ☐ Special Comments
- ☒ Other: Return Postcard.

5. Declaration or oath

- ☒ Enclosed
- ☐ Unexecuted
- ☐ Executed by
- ☒ inventors. The enclosed Declaration is a true copy of the Declaration filed in U.S. patent application serial no. 09/001,887 filed on December 31, 1997, the parent of the present continuation application.
- ☐ legal representative of inventor(s).
37 CFR 1.42 or 1.43.
- ☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.
- ☐ This is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. See item 12 below for fee.
- ☐ Not Enclosed
- ☐ Application is made by a person authorized under 37 C.F.R. 1.41 (c) on behalf of all the above named inventor(s).
- ☐ Showing that the filing is authorized.

6. Assignment

- ☐ An assignment of the invention to _____
- ☐ is attached. A separate ☐ "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" or ☒ FORM PTO 1595 is also attached. will follow.

7. Certified Copy

Certified copy(ies) of application(s)

Country	Appln. no.	Filed
Country	Appln. no.	Filed
Country	Appln. no.	Filed

from which priority is claimed

- ☐ is (are) attached.
☐ will follow.

8. Fee Calculation (37 C.F.R. 1.16)

CLAIMS AS FILED				
	Number filed		Number Extra	Rate
				Basic Fee 37 C.F.R. 1.16(a) \$740.00
Total				
Claims (37 CFR 1.16(c))	18	- 20 =	0	\$ 18.00
Independent				
Claims (37 CFR 1.16(b))	4	- 3 =	1	\$ 84.00
Multiple dependent claim(s), if any (37 CFR 1.16(d))			+	\$280.00

- ☐ A Preliminary Amendment is enclosed. The filing fee is calculated based on the status and number of claims remaining after entry of the Preliminary Amendment.
- ☐ Amendment deleting multiple-dependencies is enclosed.
- ☐ Fee for extra claims is not being paid at this time.

Filing Fee Calculation

\$ 824.00

9. Small Entity Statement(s)

☐ Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is (are) attached.
☒ Status as a small entity was claimed in prior application 09/001,887, filed on December 31, 1997 from which benefit is being claimed for this application under:

35 U.S.C. ☐ 119(e),
☒ 120,
☐ 121,
☐ 365(c),

and which status as a small entity is still proper and desired.

☒ A copy of the verified statement in the prior application is included.

Filing Fee Calculation (50% of A, B or C above)

\$ 412.00

10. Fee Payment Being Made at This Time

☐ Not Enclosed

☐ No filing fee is to be paid at this time.

(This and the surcharge required by 37 C.F.R. 1.16(e) can be paid subsequently.)

☒ Enclosed

☒ Basic filing fee \$ 412.00

☐ Recording assignment

(\$40.00; 37 C.F.R. 1.21(h))

(See attached "COVER SHEET FOR ASSIGNMENT
ACCOMPANYING NEW APPLICATION".)

\$ _____

Total fees enclosed

\$ 412.00

11. Method of Payment of Fees

☒ Check in the amount of \$ 412.00

☐ Charge Account No. 50-1798 in the amount of
A duplicate of this transmittal is attached.

\$ _____

12. **Authorization to Charge Additional Fees**

☒ The Commissioner is hereby authorized to charge the following additional fees during the entire pendency of this application to Account No. 50-1798.

☒ 37 C.F.R. 1.16(a), (f) or (g) (filing fees)

☒ 37 C.F.R. 1.16(b), (c) and (d) (presentation of extra claims)

☐ 37 C.F.R. 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

☐ 37 C.F.R. 1.17 (application processing fees)

☐ 37 C.F.R. 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 C.F.R. 1.311(b))

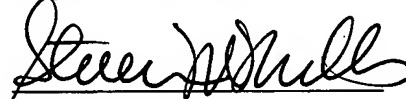
13. **Instructions as to Overpayment**

☒ Credit Account No. 50-1798

☐ Refund

Date: January 16, 2002
MILLS & ONELLO LLP
Eleven Beacon Street, Suite 605
Boston, MA 02108
Telephone: (617) 994-4900
Facsimile: (617) 742-7774

Respectfully submitted,


Steven M. Mills
Registration Number 36,610
Attorney for Applicants

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Paul A. Getchel, *et al.*
Serial No.: Continuation of U.S. Serial No. 09/001,887
Filing Date: Herewith
Title: TEMPERATURE CONTROL SYSTEM FOR A WORKPIECE CHUCK

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10

"Express Mail" Mailing Label Number EL871911109US I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, DC 20231.

1-16-02
Date

Vanessa Marakas
Vanessa Marakas

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231

TRANSMITTAL LETTER

Sir:

Enclosed herewith for filing in the above-identified patent application please find the following listed items:

1. New Application Transmittal;
2. New Continuation Patent Application;
3. Copy of Executed Declaration, Petition and Power of Attorney;
4. Four (4) Sheets of Formal Drawings (Figures 1-4);
5. Notice of Change in Power of Attorney and Correspondence Address;
6. Check in the amount of \$412.00 to cover application filing fee; and
7. Return Postcard.

In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 50-1798. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

Date: January 16, 2002
MILLS & ONEILLO LLP
Eleven Beacon Street, Suite 605
Boston, MA 02108
Telephone: (617) 994-4900
Facsimile: (617) 742-7774

Steven M. Mills
Steven M. Mills
Registration Number 36,610
Attorney for Applicants

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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1-16-02
Date

Vanessa Marakas
Vanessa Marakas

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231

NOTICE OF CHANGE IN POWER OF ATTORNEY AND CORRESPONDENCE ADDRESS

Sir:

The correspondence address in the continuation application filed herewith should be as follows:

SEND CORRESPONDENCE TO:

Steven M. Mills, Esq.
Mills & Onello LLP
Eleven Beacon Street, Suite 605
Boston, Massachusetts 02108

DIRECT TELEPHONE CALLS TO:

Steven M. Mills, Esq.
(617) 994-4900

Applicant(s): Paul A. Getchel, *et al.*

The power of attorney and correspondence address were changed to the above in U.S. application serial number 09/001,887, the parent of the present continuation application. Copies of the documents effecting the change in power of attorney and correspondence address in the parent application are enclosed.

Respectfully submitted,

Date:

January 16, 2002

Mills & Onello LLP

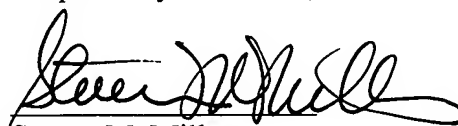
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Steven M. Mills

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